

The Department of Education's *Experimental Sites Initiative*

Dual Enrollment

June 2016

Agenda

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- Overview of the Experiment

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- Requirements

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- Reporting and Evaluation

Responsibilities of Institutional Offices



Administrative Capability
668.16(b)(1) and (4)

Dual Enrollment

Current Rules

Law/Regulation

Description

Section 484(a)(1) of the HEA and 34 CFR 668.32(b)

Prohibits a student who is enrolled in secondary school from receiving funds under the Federal Pell Grant program

Section 484(d) of the HEA and 34 CFR 668.32(e)

Requires that a student have a high school diploma, or its recognized equivalent, to be eligible for Federal Pell Grant funds

Dual Enrollment

Current Rules

- A student may not receive Title IV aid, including Pell Grant funds, for postsecondary enrollment if the student is also enrolled in secondary school
- A student must have a secondary school diploma or its recognized equivalent in order to receive Title IV aid

Experiment

- An otherwise-eligible student who is enrolled in both secondary school and an eligible postsecondary program may receive a Pell Grant
- An otherwise-eligible student enrolled in both secondary school and an eligible postsecondary program does not need to have a secondary school diploma or its recognized equivalent to receive a Pell Grant

Requirements

Arrangement



Participating institutions must have an arrangement with one or more Local Educational Agencies (LEAs) or public secondary schools



Arrangement must meet the applicable requirements of the postsecondary institution's accrediting agency



Participating institutions must notify FSA if arrangements are different than what was reported on Letter of Interest. After Experiment begins, changes must be reported within 15 business days

Requirements

Arrangement must:



Require dually enrolled students to enroll in Title IV-eligible postsecondary programs as regular students



Provide that students only receive Pell Grant funds for coursework that applies towards completion of the postsecondary credential at the postsecondary institution



Not impede participating students' academic progress and persistence in secondary school

Requirements

Arrangement must:



Offer students the opportunity to earn the equivalent of at least 12 credit hours while the student is also enrolled in secondary school



Ensure students are adequately prepared academically for postsecondary-level coursework



Prohibit the use of Pell Grant funds for remedial coursework

Requirements

Arrangement must:



Provide for student support services to increase student preparation for and success in postsecondary education



Provide for assistance completing the Free Application for Federal Student Aid (FAFSA)

Lifetime Eligibility Used (LEU)

Dual Enrollment and Pell LEU



Federal Pell Grants received under the experiment will count towards a student's lifetime eligibility for Pell Grant funds

Requirements

Awards and Disbursements



Pell Grant award amounts will be calculated using the EFC determined by the FAFSA and the Federal Pell Grant Payment and Disbursement schedules for the relevant award year (e.g. full-time, three-quarter time, half-time or less than half-time)



Normal requirements for student eligibility, calculating a student's cost of attendance, and disbursing Pell Grant funds remain in effect

Requirements

Credit Balances



Title IV credit balances, if any, must be released to students

Requirements

COD Reporting



For each dually enrolled student, the institution must use a code for Data element # 94



Institutions participating in the experiment will use **COD Code # 10** = *Program Leads to at least an Assoc. Degree, Excelled in H.S. and met the Admissions Requirements* for the Student Eligibility Code (SEC) for students in this experiment

Requirements

Return of Title IV Funds (R2T4)



Return of Title IV Funds provisions apply for students who withdraw

Requirements

Remaining Institutional Charges



After all Pell Grants, State, local, institutional aid, or other resources have been applied to student charges, students must not be responsible for any remaining institutional charges

Important Reminders

FAFSA Completion



Student must complete FAFSA



Student will indicate they do not have a high school diploma

Important Reminders

Regular Student Reminder



Regular student definition



Documentation

Requirements

Notice of Transferability of Credit



Any information about potential restrictions on the transferability of dual enrollment credits earned must be disclosed to students and their families prior to the student's participation in the experiment

Requirements

Supplement Not Supplant



Pell Grants must not supplant public and institutional sources of funding



Institutions will be required to annually submit information about the total cost of operating the dual enrollment arrangement and the sources of funding for the arrangement

Program Participation Agreement



FSA sends the school the Amendment to the PPA

School signs and returns hard copy document via delivery service



FSA sends the school a countersigned amendment to the PPA and a welcome letter

- School retains copies of the PPA amendment for their records

Reporting and Evaluation

Information to be collected from institution:

- The number and characteristics of applicants who apply and/or enroll in dual enrollment courses
- The number of postsecondary credits the students have attempted and earned
- The amount of Pell Grant funding provided to each student

Reporting and Evaluation (continued)

Information to be collected from institution:

- Indicators of academic progression and completion
- The number and characteristics of low-income students who participated in dual enrollment prior to the experiment

Reporting and Evaluation (continued)

Participating institutions will be required to:

- Complete annual surveys collecting information about:
 - Postsecondary instruction (if any) provided to secondary students prior to the students participating in the experiment
 - The postsecondary institution's dual enrollment arrangement and any challenges
 - Aspects of the postsecondary institution's dual enrollment arrangement (e.g., tuition and fees, caps on credits earned, support services provided, instructional delivery methods, and faculty characteristics)

Reporting and Evaluation (continued)

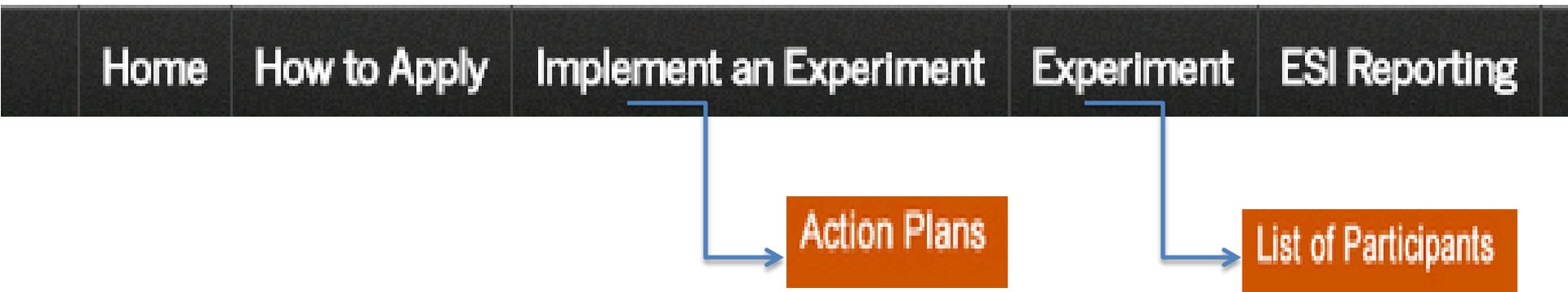
- Current evaluation plans call for experiment participants to identify dually enrolled students who complete a FAFSA and will ask participating schools to provide ONLY information unique to the experiment

Reporting and Evaluation (continued)

- Aspects of the reporting:
 - Includes **ALL** applicants not just those who enroll
 - Contains Personally Identifying Information (PII)
 - Will allow Department to leverage existing information, minimizing information institutions will be required to supply
- The Department will finalize evaluation and reporting requirements and share this information with participating institutions

Experimental Sites Initiative Website

<https://experimentalsites.ed.gov>



Action Plan

Dual Enrollment

This experiment will allow the Institution to provide Federal Pell Grants to students who are enrolled in secondary school while enrolled in a title IV-eligible postsecondary program at the Institution.

Waivers(s): [Section 484\(a\)\(1\) of the HEA and 34 CFR 668.32\(b\)](#); [Section 484\(d\) of the HEA and 34 CFR 668.32\(e\)](#)

To assist you with your action plan, we have provided guidance on how to implement, track and report the progress of the experiment.

 **Project Lead:**

Action	Status
Eligibility	
<input type="checkbox"/> Signed Amended Program Participation Agreement (PPA) on file <input type="checkbox"/> Attend available training webinars <input type="checkbox"/> Train applicable staff at institution	
Consumer Information	
<input type="checkbox"/> Identify all Consumer Information documents and web pages that require updates <input type="checkbox"/> Schedule Changes <input type="checkbox"/> Make changes	
Establish record keeping system to support evaluation	
<input type="checkbox"/> Create and maintain a database containing the information requested for the evaluation of the experiment	
Policies and Procedures	
Develop policies and procedures to ensure that the institution:	

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Questions and Answers